

Optimization of Bacteriocin Production by a New *Lactobacillus* Strain, ZJ317, using Response Surface Methodology

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This work presents a sequential approximate optimization method to achieve accurate and efficient optimization of bacteriocin production by *Lactobacillus* strain, ZJ317. Initially, a Plackett–Burman design study and a determination of the path of steepest ascent were effective in identifying the most significant factors and approaching the optimum region of the response. Response surface methodology (RSM) was then applied to evaluate the effects of different variables on bacteriocin production. The experimental results were fitted to a second-order polynomial model equation. The optimal values of each variable to achieve the theoretical maximum of bacteriocin activity (1742.77 U/ml) were predicted to be 2.0% (w/v) glucose, 1.02% (w/v) yeast extract and 2.06% (w/v) inoculum volume. The observed experimental value of bacteriocin activity under the predicted optimal conditions was 1883.61 U/ml compared to a pre-optimization value of 1037.19 U/ml, thus showing a marked improvement in the efficiency of bacteriocin production.

Key words: *Lactobacillus* ZJ317, Plackett–Burman design, Response surface analysis, Bacteriocins, Optimization, Fermentation.

Bacteriocins are ribosomally synthesized antibacterial peptides produced by bacteria. Bacteriocins kill or inhibit other bacteria (they are particularly potent against closely related species), and producer cells have a specific immunity mechanism against their own bacteriocins. Lactic acid bacteria are known to have an antagonistic activity toward a variety of microorganisms. Bacteriocins are of interest for their potential applications in the food industry because of their antimicrobial activity and their technologically

favorable properties. Furthermore, bacteriocin-producing strains of lactic acid bacteria protect themselves against the toxicity of their own bacteriocins by expressing a specific immunity protein that is generally encoded in the bacteriocin operon. Therefore, it is hoped that bacteriocins may one day replace antibiotics.

A widely used complex growth medium containing yeast extract and peptone as nitrogen sources and glucose as a carbon source has played an important role in the efficiency and economics of the fermentation process [1]. MRS is the basic medium for cultivating bacteriocin-producing lactic acid bacteria, and it contains abundant materials such as carbon and nitrogen sources, mineral salts, trace elements, peptides, amino acids, vitamins and other factors. However, the yield of bacteriocins is strongly influenced by growth conditions and medium composition. Industrial-scale production

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of biomolecules often requires the use of complex and inexpensive media containing yeast extract and peptone as nitrogen sources.

Response surface methodology (RSM) is a mathematical and statistical technique for building empirical models. RSM has become important for optimizing the industrial production conditions for high-value products such as chemicals and enzymes and for studying enzyme kinetics². This useful tool has been used in many biotechnological processes, including optimization of culture conditions [3–5], enzyme production^{6–9}, ethanol production^{10–14} and even biomass production^{15–18}. In the present work, RSM, a well-established global approximation technique, was combined with a statistical model-building technique, a subdomain representation of the problem, a genetic algorithm and conventional mathematical programming (optimization methods) to determine optimal culturing conditions. This approach constitutes an efficient and effective approximate optimization method for the production of compounds by bacteria¹⁹.

The aim of this study was to improve the production of bacteriocin by *Lactobacillus* strain ZJ317 by optimizing the fermentation conditions and to determine the optimal culture conditions for attaining maximal bacteriocin yield.

MATERIALS AND METHODS

Microorganisms and media

MRS medium was used as a starting medium for optimization and as a routine culture medium for *Lactobacillus* strain ZJ317. *Lactobacillus* ZJ317 is a bacteriocin-producing strain which was recently isolated from human infant feces and identified by 16S rRNA sequencing²⁰. The initial pH of the medium was adjusted to 6.5.

Micrococcus luteus 10209 was obtained from the China Center of Industrial Culture Collection (CICC) and was used as a sensitive indicator organism for detection of bacteriocin activity. It was grown on Luria–Bertani (LB) medium and stored at –20°C.

Inocula preparation

A single colony of *Lactobacillus* ZJ317 growing on MRS agar (Oxoid) was inoculated into 20 ml of MRS broth at 37°C in a rotary shaker at 130

rpm and grown to an optical density at 600 nm (OD_{600}) of 1.0 [21]. The cells were harvested by centrifugation at 10,000×g for 10 min at 4°C [10]. The cell pellet was washed and resuspended directly into the cultivation broth (200 ml), the composition of which was varied according to the experimental design described in this work. This procedure was used as the standard inoculum preparation for all experiments.

The indicator bacterium *Micrococcus luteus* 10209 was streaked on an LB plate and cultivated for 24 h. A single colony was then inoculated into 10 ml of LB broth and grown at 37°C to an OD_{600} of 0.5.

Bacteriocin activity determination

Bacteriocin, obtained in the crude enzymatic extract, was measured using a bioassay by spotting 200 μ l of cell-free culture supernatant onto indicator lawns. Indicator lawns were prepared by overlaying 10 ml MRS agar (1.5%, w/v) with 10 ml of MRS soft agar (0.7%, w/v) that was inoculated with 300 μ l of a fresh culture of *Micrococcus luteus* 10209 grown to an OD_{600} of 0.5. The indicator lawn was placed at 4°C for 30 min, then cultivated at 37°C for 12 h. Zones of inhibition were measured and recorded.

Plackett–Burman design

Experimental designs such as the Plackett–Burman design (PB) and factorial designs are good methods for screening and optimizing medium composition and culture conditions for fermentation processes while requiring a minimal number of experiments [22].

In this study, the same technique was employed to determine the most significant factor affecting the production of bacteria. Eight factors were chosen, and PB was used to find the three most significant factors. The experimental designs with their names, symbol codes and actual factor levels at coded factor levels are shown in Table 1.

Steepest–ascent methods

Based on the PB methodology, the three main factors affecting bacterial production were identified to be glucose, yeast extract and inoculum volume. The steepest ascent in the growth curve will help to reveal the approximate optimum region of the response, which shows the relative amounts by which the factors must vary to attain a maximum increase in response. The design of the steepest ascent coordination path was shown in Table 2.

Response surface methodology

RSM was used to study the combined interactions among different physiological variables²³. The design of the RSM is based on the results of PB, using the three main factors with the strongest effects on bacteriocin production by *Lactobacillus strain ZJ317*. RSM analysis uses data from experiments using three levels of each of three factors²⁴. After the experiments, the bacteriocin activity was taken as the dependent variable or response (Y). A second-order polynomial equation was then fitted to the data by multiple regression analysis. The quadratic equation for the variables was as follows:

$$Y = \beta_0 + \sum_{i=1}^k \beta_i \chi_i + \sum_{i=1}^k \beta_{ii} \chi_i \chi_i + \sum_{i(j)} \beta_{ij} \chi_i \chi_j \dots (1)$$

Where Y is the response variable, β_0 , β_i , β_{ii} and β_{ij} are constants, and χ_i and χ_j are the coded levels of variables χ_i and χ_j . The quadratic equation above was used to plot surfaces for the variables.

RESULTS AND DISCUSSION

Plackett–Burman design

The experimental PB design and results are given in Table 3 and 4. The bacteriocin activity varied considerably within the tested conditions over a range of 379.22–1450.49 U/ml. This result suggested that the tested variables strongly affected bacteriocin production. Based on the main effect factors analysis shown in Table 4, we identified the three main factors as inoculum volume (+0.89), glucose (+0.80) and yeast extract (–1.14). Factors with a confidence level greater than 95% (P<0.05) were considered to have a significant effect on the response and were selected for further studies.

According to the PB design experiment, the production of bacteriocin was influenced by inoculum volume (P=0.012), glucose (P=0.017) and yeast extract (P=0.004). The PB design indicated that the three main factors affecting production are inoculum volume (+0.89), glucose (+0.80) and yeast extract (–1.14). We optimized lactic acid fermentation by lowering the yeast extract concentration in the medium, increasing the starting glucose concentration and increasing the inoculum volume of the culture²⁵.

Steepest–ascent methods

The path of steepest ascent for bacteriocin production was determined using the data shown in Table 2 and by regression analysis. As indicated by the PB design, increasing the concentration of glucose, increasing the inoculum volume and decreasing the concentration of yeast extract, according to the signs of their main effects, should positively affect bacteriocin production by *Lactobacillus ZJ317*²⁶. Fig 1 illustrates the effects of changing the three variables. Glucose concentration and inoculum volume were serially increased by 1.75% and 4%, respectively, while yeast extract concentration was serially decreased by 1.2%. It was clear that the yield plateau had been reached at group four, and this group was chosen for further optimization²⁷. The steepest–ascent method established that varying glucose by 1.75%, yeast extract by 1.2% and inoculum volume by 4% were best for determining the optimal growth conditions of *Lactobacillus ZJ317*.

Response surface

Based on the above steepest–ascent method, response surface methodology was employed to determine the optimal conditions of the three most significant factors, namely glucose, yeast extract and inoculum volume. Randomized experimental runs were carried out to minimize the error due to matching setup. The ranges of glucose concentrations, yeast extract concentrations and inoculum volume used in the experiments are listed in Table 5. Table 6 shows the experimental plan and details the experimental run order and coded values of the process parameters.

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This analysis resulted in a proposed second–order polynomial equation as follows:

$$Y = 1742.24 + 19.58A - 4.86B + 29.60C + 242.38AB - 174.82AC - 140.63BC - 472.30A^2 - 283.84B^2 - 592.40C^2 \dots (2)$$

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where Y is the response (bacteriocin activity) and A, B and C represent the variables glucose yeast extract and inoculum volume, respectively. The model using all coefficients was very reliable, with an R² value of 94.07, meaning that 94.07% of the total variation is explained by the model²⁸.

The regression equation was represented

using 3–D response surface plots, and each contour curve corresponds to an infinite number of combinations of two test variables, while the third concentrations of the variables can also be

predicated from the respective response surface plots (Figs. 2A, 2B, 2C). In these figures, each row of the plot corresponds to a factor and each column of the plot corresponds to a response, each plot

Table 1. Experimental variables at different levels used for the production of *Lactobacillus* ZJ317 using Plackett–Burman design

Variables	Symbol code	Actual factor level at coded factor levels		
		Lower (–1)	Center (0)	Higher (1)
Inoculum volume (%)	A	1.5	3	4.5
Culture volume (ml)	B	10	20	30
Glucose (%)	C	0.75	1.5	2.25
Maltose (%)	D	0.75	1.5	2.25
Yeast extract (%)	E	0.75	1.5	2.25
Peptone (%)	F	0.25	0.5	0.75
Sodium dihydrogen Phosphate (%)	G	0.1	0.2	0.3
Tween 80 (%)	H	0.1	0.2	0.3

Table 2. Design of steepest ascent coordination path

No.	Glucose (%)	Yeast extract (%)	Inoculum volume (%)
1	1	2.1	1
2	1.25	1.8	2
3	1.5	1.5	3
4	1.75	1.2	4
5	2	0.9	5
6	2.25	0.6	6
7	2.5	0.3	7

Table 3. Result of Plackett–Burman design

Runs	Team	A	B	C	D	E	F	G	H	Y(U/ml)
1	1	+	+	–	+	+	–	+	–	379.22
2	1	0	0	0	0	0	0	0	0	474.24
3	1	–	–	–	–	–	–	–	–	663.21
4	1	–	–	+	+	+	–	+	+	424.08
5	1	–	–	–	+	+	+	–	+	480.13
6	1	0	0	0	0	0	0	0	0	474.24
7	1	+	–	+	+	–	+	–	–	999.26
8	1	–	+	+	+	–	+	+	–	550.47
9	1	0	0	0	0	0	0	0	0	474.24
10	1	–	+	+	–	+	–	–	–	593.07
11	1	+	+	+	–	+	+	–	+	688.40
12	1	–	+	–	–	–	+	+	+	453.26
13	1	+	+	–	+	–	–	–	+	799.05
14	1	+	–	+	–	–	–	+	+	1450.49
15	1	+	–	–	–	+	+	+	–	510.94

shows how one response changes as a function of one factor, with all other factors remaining fixed. The maximum predicted yield is indicated by the confined surface in the response surface diagram.

The regression equation, maximized using Design Expert 7.1 (Stat-Ease, Inc.), allowed us to obtain the following optimal coded units for test variables: A=0.02, B=0.0102 and C=0.0206. Under

Table 4. Main effect factors analysis

Item	Effect	Coefficient	Standard error	T	P value
Constants		6.02	0.11	52.43	0.000
Inoculum volume	0.89	0.45	0.11	3.88	0.012
Culture volume	-0.53	-0.27	0.11	-2.31	0.069
Glucose	0.80	0.40	0.11	3.49	0.017
Maltose	-0.49	-0.24	0.11	-2.12	0.088
Yeast extract	-1.14	-0.57	0.11	-4.97	0.004
Peptone	-0.22	-0.11	0.11	-0.96	0.382
Na ₂ HPO ₄	-0.54	-0.27	0.11	-2.36	0.065
Tween 80	0.28	0.14	0.11	1.22	0.277
Ct	Pt	4.28	0.26	16.66	0.000

Table 5. The factor and level of Box–Behnken design for optimization of culture medium to product bacteriocin by *Lactobacillus* ZJ317

Symbol code	Variables	Actual factor levels at coded factor levels		
		-1	0	1
A	Glucose (%)	1.55	1.75	1.95
B	Yeast extract (%)	1	1.2	1.4
C	Inoculum volume (%)	3	4	5

Table 6. Response surface Box–Behnken design and corresponding response

Std R1	Run	A	B	C	Results
1	7	-1	-1	0	1297.07
2	4	1	-1	0	688.40
3	10	-1	1	0	799.05
4	14	1	1	0	1159.88
5	5	-1	0	1	1562.72
6	3	1	0	-1	635.58
7	8	-1	0	1	612.41
8	6	1	0	1	627.29
9	9	0	1	-1	872.17
10	16	0	1	-1	893.56
11	13	0	1	-1	674.83
12	11	0	1	1	893.56
13	17	0	0	0	1747.57
14	15	0	0	0	1682.69
15	12	0	0	0	1644.38
16	2	0	0	0	1450.49
17	1	0	0	0	1531.53

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these optimized conditions, the predicted response for bacteriocin activity was 1742.77 U/ml, and the observed experimental value was 1882.79 U/ml, 140.02 U/ml higher than predicted. The increased amount of bacteriocin production in the validation experiment might be due to *Lactobacillus* strain ZJ317 having positively adapted to produce bacteriocin after long periods of cultivation.

The response surface methodology using the second-order regression equation was a successful tool for the optimization of bacteriocin production by *Lactobacillus* ZJ317 [29]. The optimal conditions found using RSM were 2.0%

glucose, 1.02% yeast extract and 2.06% inoculum volume.

Lactic acid bacteria has become an important topic in research work, because of its probiotic function, and abundant natural resources in China. The bacteriocin produced by lactic acid bacteria is considered to be the most effective antibiotic alternatives and synthetic preservatives due to its non-toxic, non-residual, non-drug-resistant. Optimization of bacteriocin production by *Lactobacillus* ZJ317 in a laboratory fermentor as a preliminary step to producing bacteriocin for use as feed additive substituting antibiotics was

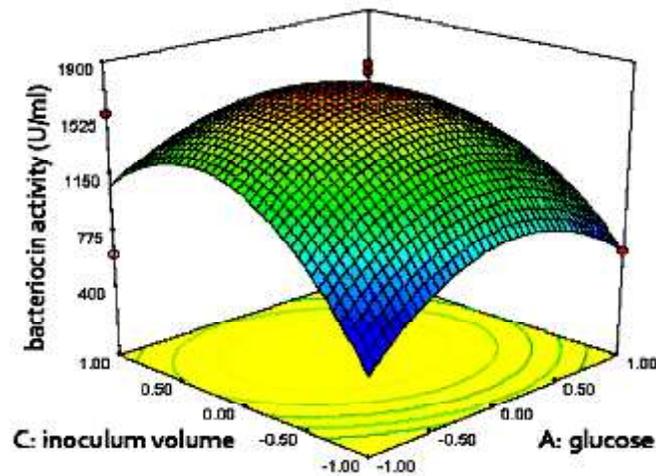


Fig. 1.

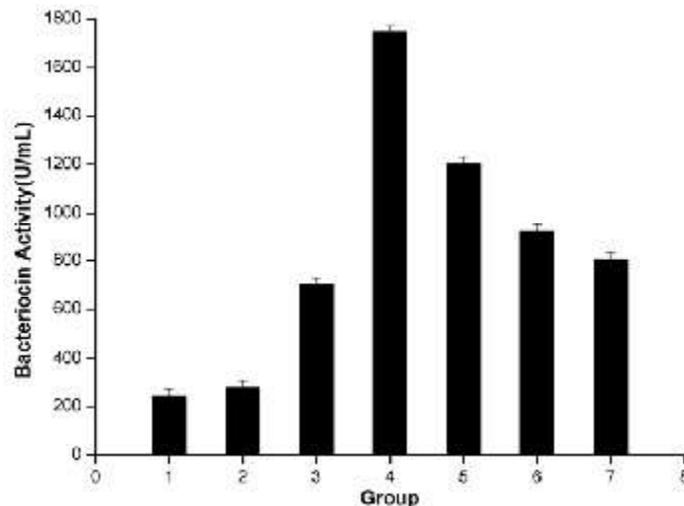


Fig. 2.

accomplished. As large amounts of bacteriocin are necessary to test their antibactericidal activity, establishment of the factors and levels influencing maximal production would lead to a more effective recovery of these antimicrobial compounds from a defined laboratory culture medium.

The study was accomplished in three major steps. First, a Plackett–Burman design study was performed to identify the most significant factors that could affect bacteriocin production by *Lactobacillus* ZJ317. According to the PB

design experiment, the production of bacteriocin was influenced by inoculum volume, glucose and yeast extract. Second, a determination of the path of steepest ascent was effective in approaching the optimum region of the response. The steepest-ascent method established that varying glucose by 1.75%, yeast extract by 1.2% and inoculum volume by 4% were best for determining the optimal growth conditions of *Lactobacillus* ZJ317. Third, Response surface methodology (RSM) was then applied to evaluate the effects of different variables

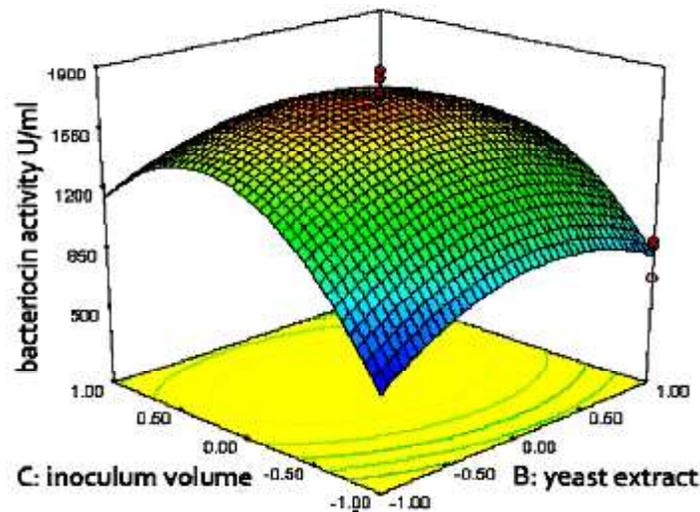


Fig. 3.

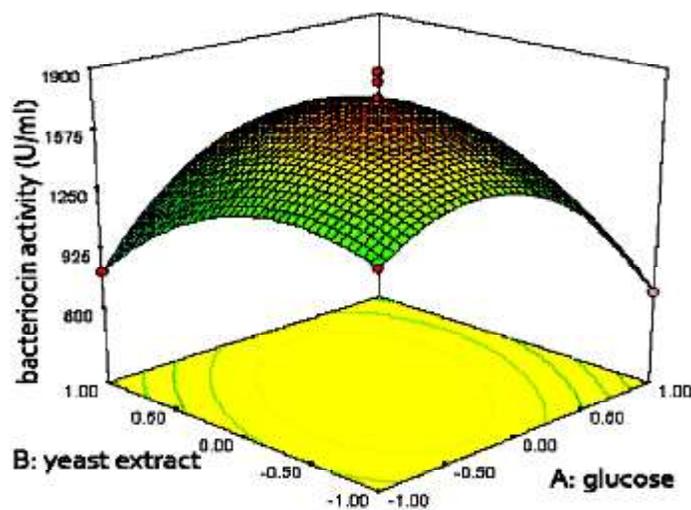


Fig. 4.

on bacteriocin production. The optimal conditions found using RSM were 2.0% glucose, 1.02% yeast extract and 2.06% inoculum volume and predicted response for bacteriocin activity of 1742.77 U/ml.

Under the optimized conditions, the model predicted 1742.77 U/ml bacteriocin activity, while validation experiments indicated the enzymatic activity of bacteriocin at 1882.79 U/ml. This result established that the tested conditions were truly optimal for the production of bacteriocin. Thus, results obtained here clearly indicated the importance of this study in the production of bacteriocin from *Lactobacillus* ZJ317 and the significant for the further development of industrial processes for bacteriocin production and application in feed additive.

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