

RESEARCH ARTICLE

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Characterization of Antibiotic Resistance Determinants of Extended Spectrum β-lactamases Producing Enterobacteriaceae from Cancer Patients in South India

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Abstract

Patients with malignancy are highly prone to infections by Extended spectrum beta-lactamases producing Enterobacteriaceae (ESBL-PE). Knowledge on local resistance profile and resistance genes is essential to decide empirical drug. Hence, the study aims to find the resistance profile and the resistance genes of ESBL-PE from cancer patients. 172 oxyimino-cephalosporins resistant Enterobacterial isolates from clinical specimens of cancer patients were obtained. Study isolates were speciated by conventional biochemical methods. Resistance to antibiotics was detected by disc diffusion method. Phenotypic detection of ESBLs was performed as stated in CLSI guidelines. Genotypic characterization of resistance determinants of ESBL-PE was done by PCR. Among 172 Enterobacterial isolates, 151 (87.7%) were ESBL producers. E. coli (67.5%) was the major species producing ESBL enzymes followed by K. pneumoniae (27.8%). Antibiotic susceptibility pattern showed lowest resistance to imipenem 11.2%, and netilmicin 13.9%. 72% of ESBL-PE was found to be Multidrug-resistant. Among ESBL genes, $bla_{CTX M go-1}$ (83.4%) was dominant followed by bla_{TEM} (32.4%) and bla_{SHV} (27.8%). 36% of the isolates were found to be positive for more than one ESBL gene. High level of plasmid encoding quinolone resistance genes (64.2%) was identified in ESBL-PE. Low levels of plasmid mediated AmpC gene (15.8%) and 16S rRNA genes (9.2%) were found in ESBL-PE. The predominant ESBL encoding gene belongs to blagram group 1. High proportion of ESBL-PE was found to co-harbor PMQR genes. ESBL-PE had highest sensitivity for imipenem and netilmicin.

Keywords: ESBL, Cancer, Enterobacteriaceae, Multidrug-resistance

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INTRODUCTION

Patients with malignancy are more susceptible to bacterial infections, as they are often immunocompromised due to malnutrition and various treatment modalities. Infection in cancer patients increases the hospital stay, financial burden, morbidity and mortality. 1,2 Enterobacteriaceae accounts for approximately 65%-80% of Gram negative bacterial infections in cancer patients.³ Resistance in Enterobacteriaceae due to Extended spectrum β-lactamases (ESBLs) are reported increasingly and the organisms producing these enzymes has spread worldwide and considered as important pathogens in community and hospital settings.4,5 ESBLs producing Enterobacteriaceae (ESBL-PE) is a leading organism causing infection in cancer population and considered as major threat since it leads to serious illness in those patients.6

Wide usage of oxyimino-cephalosporins as therapeutic options for enterobacterial infections led to the appearance of ESBLs.⁵ ESBL enzymes hydrolyzes penicillins, cephalosporins except cephamycins. ESBL genes are encoded by plasmid that facilitates its dissemination among different Enterobacteriaceae species.7 The major types of ESBLs were from TEM, SHV, and CTX M families.8 $bla_{CTX-M-15}$ gene has emerged all over the world, also common in nosocomial and community acquired infections.9 ESBL producing Enterobacteriaceae are often non-susceptible to fluoroquinolones, co-trimoxazole and aminoglycoside, due to coexistence of different resistance determinants on same plasmid and so exhibit the Multidrugresistance phenotype and limits the treatment options.¹⁰ Although several studies of ESBL-PE species from hospital and community settings have been reported, very few data are available on ESBL-PE isolates from cancer population. The few reports on ESBL-PE are pertaining to bloodstream infections and there is hardly report on antibiotic resistance determinants of ESBL-PE from diverse infections in cancer patients. It is significant to identify the sensitivity pattern and resistance genes of Multidrug-resistant pathogen like ESBL-PE to aid appropriate choice of empirical therapy for infection in this high risk population.

Hence the present study was designed to find antibiotic susceptibility pattern and

characterize the various antibiotic resistance genes of ESBL-PE isolates from cancer patients.

METHODS

Study isolates

172 oxyimino-cephalosporins resistant Enterobacteriaceae were collected from Cancer care hospital, Chennai, Tamil Nadu, South India during the period commencing from February 2016 to May 2017. The collected isolates were obtained from various clinical specimens (urine, pus, sputum etc.) of cancer patients.

Identification and speciation of study isolates

Isolation of the study isolates from the clinical specimens was done in hospital according to standard microbiological procedure. Only the pure culture isolates collected from the hospital were used in the study. All the 172 isolates were identified and speciated by using standard techniques such as Gram staining, studying colony morphology on MacConkey agar (Hi-Media Labs, Mumbai, India), oxidase test, catalase test, nitrate reduction, O/F test, TSI, IMVIC (Indole, Methyl Red, Voges Proskauer and Citrate), urease production, phenylalanine deaminase test, motility tests and sugar fermentation tests.

Antimicrobial susceptibility testing

Antibiotic resistance was detected by Kirby Bauer disc diffusion method and the zone diameters were interpreted according to the Clinical Laboratory Standard Institute (CLSI) break points. ¹¹ The following antibiotic discs were tested: Ampicillin ($10\mu g$), piperacillin ($10\mu g$), piperacillin/tazobactam ($100/10\mu g$), cefotaxime ($30\mu g$), ceftazidime ($30\mu g$), imipenem ($10\mu g$), meropenem ($10\mu g$), amikacin ($30\mu g$), gentamicin ($10\mu g$), netilmicin ($30\mu g$), ciprofloxacin ($5\mu g$), cotrimoxazole ($1.25/23.75~\mu g$), norfloxacin ($10~\mu g$) (Hi-Media Labs, Mumbai, India). The quality of antibiotic disc was determined using *E.coli* ATCC 25922 standard strain.

Phenotypic confirmation of esbls

Phenotypic confirmation of ESBL production was done by disc diffusion test as stated in CLSI guidelines. 11 The ceftazidime (CAZ-30 $\mu g)$ and Cefotaxime (CTX-30 $\mu g)$, discs with and

without clavulanic acid (10 µg) (Hi-Media Labs, Mumbai, India), were placed 30 mm apart on a lawn culture of the test isolate (0.5 McFarland opacity)and incubated for 24 hours at 37°C. Bacterial isolate was considered ESBL positive if ≥ 5mm increase in zone diameter of the clavulanic acid combination disc was observed than the disc without clavulanic acid.

Molecular detection of ESBL encoding genes and associated resistant genes

DNA extraction was carried out by boiling lysis method. Colonies of study isolates were inoculated in Luria-Bertani broth and incubated overnight. Then the broth was centrifuged and 200µl sterile water was added to the pellet; kept in dry bath at 100°C for 10 mins; immediately kept in -20°C deep freezer followed by centrifugation. Multiplex PCR detection of bla_{CTXM} gp-1, bla_{CTXM} gp-2, bla_{CTXM} gp-8, bla_{CTXM} gp-9, bla_{CTXM} gp-25 genes was done. 12 The presence of bla_{TEM} and bla_{SHV} were identified by the multiplex PCR according to the published methods.¹³ The other resistant genes such as plasmid-mediated AmpC genes, 16SrRNA methylase genes (armA, rmtB, rmtC) coding for aminoglycoside resistance and plasmid-mediated quinolone resistant (PMQR) genes (qnr A, B, S, aac (6')-lb-cr) were detected by PCR using previously described method (Table 1). 14-17

Statistics

GraphPad QuickCalcs were used to perform statistical analysis. Chi-square test was used to calculate associations between antibiotic resistance and its genes. A p-value of < 0.05 was considered statistically significant.

RESULTS

Distribution of study isolates

The source of the 172 oxyimino cephalosporin resistant enterobacterial isolates, are as follows 71.5% (123) from urine, followed by pus 12.7% (22), sputum 12.2% (21), blood 1.7% (3), wound 1.1% (2) and vaginal swab 0.5% (1). Of 172 oxyimino-cephalosporin resistant *Enterobacteriaceae* isolates, 67% (116) were from inpatients and 33% (56) were from outpatient's samples. Among the 172 clinical isolates collected, 23.25% (40/172) were from hematological malignancy patients and 76.74% (132/172) were from solid organ tumor patients (Table 2).

Of the 172 oxyimino-cephalosporin resistant *Enterobacteriaceae* isolates, 69.1% (n=119) was found to be *Escherichia coli* followed

Table 1. ESBL genes primers

Gene	Primer	Amplicon size(bp)	Reference
CTX –M	F: AAA AAT CAC TGC GCC AGT TC	415	
Group I	R: AGC TTA TTC ATC GCC ACG TT		
CTX-M	F: CGA CGC TAC CCC TGC TAT T	552	Woodford
Group2	R: CCA GCG TCA GAT TTT TCA GG		et.al. ¹²
CTX-M	F: CAA AGA GAG TGC AAC GGA TG	205	
Group9	R: ATT GGA AAG CGT TCA TCA CC		
CTX-M	F: TCG CGT TAA GCG GAT GAT GC	666	
Group8	R: AAC CCA CGA TGT GGG TAG C		
CTX -M	F: GCA CGA TGA CAT TCG GG	327	
Group 25	R: AAC CCA CGA TGT GGG TAG C		
$bla_{_{TEM}}$	F: TTTCGTGTCGCCCTTATTCC	294	Hassan MI
	R: ATCGTTGTCAGAAGTAAGTTGG		et al., ¹³
bla _{shv}	F: CGCCTGTGTATTATCTCCCT	404	
	R: CGAGTAGTCCACCAGATCCT		

[Table 1 contains the primers used to identify ESBLs determinants]

by Klebsiella pneumoniae 24.4% (n=42), Citrobacter koseri 3.4% (n=6), Enterobacter cloacae 1.7% (n=3) and Klebsiella oxytoca 1.1% (n=2) (Table 3).

Phenotypic detection of ESBLs

Among 172 oxyimino cephalosporin resistant *Enterobacteriaceae*, 140 (81.3%) isolates showed positive reaction for ESBL production phenotypically. Irrespective of phenotypic ESBL detection, all the 172 isolates were subjected to genotypic detection: 151/172 (87.7%) enterobacterial isolates were positive for ESBLs production genotypically. Among 151 ESBL-PE, *E.coli* (67.5% n=102) was found to be the predominant species followed by *K.pneumoniae* (25.8% n=39).

Antibiotic susceptibility pattern

ESBL-PE isolates showed increased non-susceptibility to beta-lactam as well as non-beta-lactam drugs except for few antibiotics. Among the antibiotics tested, piperacillin showed resistance rate of 98.6% followed by ampicillin 98%, ciprofloxacin 83.4%, norfloxacin 81.4%, cotrimoxazole 72.1% and piperacillin/tazobactam 63.5%. Least resistance was observed for imipenem 11.2%, followed by netilmicin 13.9%, meropenem 21.8%, gentamicin 35.7%, and amikacin 38.4%. Multi-drug resistant phenotype (showing resistance to three or more classes of antibiotics) was observed in 72% of the ESBL-PE.

Non-ESBL producing isolates showed highest resistance to fluoroquinolones and piperacillin. (Refer Figure)

Genotypic characterization of ESBL and other resistant genes

Among the ESBL genes detected, bla_TXM gp1 was the predominant ESBL type found in 83.4% (126/151) of the isolates followed by bla_{TEM} 32.4% (49/151) and bla_{SHV} 27.8% (42/151). 36% of the test isolates were found to be positive for more than one ESBLs encoding genes. The bla_{CTXM} gp2, bla_{CTXM} gp8, bla_{CTXM} gp9, and bla_CTXM gp25 genes were not found in any of the ESBL-PE. 64.2% of the ESBL producing strains harbored one or two PMQR genes, qnrA was not found on any of the isolates, 15.8% of the isolates were found to carry plasmid-mediated AmpC genes and 9.2% of the isolates were associated with 16SrRNA methylase gene (armA, rmtB). All the isolates were negative for rmtC gene (Refer Table 4). The statistical analysis revealed that the association between the antibiotic resistance and its determinants was not significant.

DISCUSSION

In this study, it has been observed that 87.7% of the oxyimino-cephalosporin resistant enterobacterial isolates from cancer patients were carrying ESBL encoding genes. This is consistent

Antimicrobial Resistance Pattern

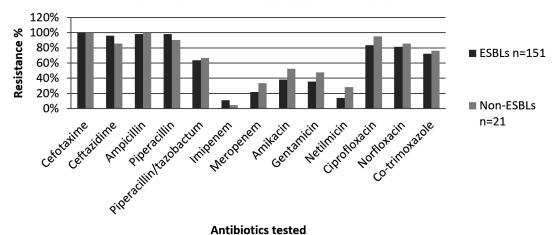


Figure. Non-susceptibility pattern of ESBL genes positive and negative isolates

with the study conducted in New Delhi which reports 80% prevalence of ESBLs among Gram negative infections in cancer patients. Similar report from western China observed that 72.8% of the nosocomial infections are caused by ESBL-PE in cancer patient. ESBL-PE has been frequently reported as a major cause of infections and it increases the mortality and morbidity rates among cancer patients. This is because of common usage of cephalosporin as first line drug for enterobacterial infections and colonization by ESBL producing organisms.

In this study, *E. coli* was the leading ESBL-PE isolate followed by *K. pneumoniae* and the chief sources of these isolates were urine samples. This is in accordance with the report of Barta *et al.*, and Jiang *et al.*^{18,19} Earlier findings state that ESBL-PE is one of the leading pathogens causing bloodstream infections in cancer patients.^{20,21} This

is contrary to the findings of our current study which showed only 2% of ESBL-PE isolates were from blood samples. The findings of the present study suggests that other sites of infections viz., urinary tract, respiratory tract, gastro-intestinal tract, surgical site, wound are also important sources of ESBL-PE infections. Although, there are several reports available for bloodstream infections in cancer patients, very few reports are available on ESBL-PE infections from other clinical sites.

In this report, ESBL production was phenotypically negative in 7% of PCR positive ESBL isolates. A surveillance study from India reported 40% PCR positive ESBL isolates were undetectable phenotypically. Production of multiple β -lactamases can mask the inhibitory effect of clavulanic acid and may make ESBLs phenotypically undetectable.²²

Table 2. Clinical characteristics of cancer patients infected by ESBL-PE

Clinical Characteri	stics	Percentage (n) N=151	
Solid organ tumo	ur		
	Head and Neck cancer	7.9 (12)	
	Lung Cancer	5.9 (9)	
	Esophago-gastrointestinal cancer	8.6 (13)	
	Colon and rectal cancer	9.2 (14)	
	Hepatobiliary and pancreatic cancer	1.9 (3)	
	Breast cancer	9.9 (15)	
	Genitourinary cancer	17.2 (26)	
	Gynecological cancer	12.5 (19)	
	Others	1.9 (3)	
Hematological ma	alignancies		
	Acute lymphoblastic leukemia	6.6 (10)	
	Chronic lymphoblastic leukemia	1.3 (2)	
	Hodgkin lymphoma	0.6 (1)	
	Non- Hodgkin lymphoma	7.9 (12)	
	Multiple myeloma	7.9 (12)	
Age	, ,		
· ·	<15	1.3 (2)	
	15-60	49 (74)	
	>60	49.6 (75)	
Sex			
	Male	51.6 (78)	
	Female	48.3 (73)	
Patient type		• •	
••	Inpatient	64.2(97)	
	Outpateint	35.7(54)	

Table 2 shows the clinical details of the patients infected by ESBL-PE. n indicates no.of ESBL isolates

The antibiotic susceptibility testing of the current study revealed high level of non-susceptibility to non-beta-lactam drugs. ESBL-PE isolates showed higher resistance to fluoroquinolones and co-trimoxazole as previously reported by Aldrazi et al., and Mahamat et al. 23,24 Resistance to other classes of antibiotics among ESBL-PE is worrisome because it further limits the empirical therapeutic options. ESBL-PE showed less resistance to aminoglycosides particularly netilmicin. The resistance rates of amikacin was 38% and of gentamicin 36% unlike other reports. A study from Spain reported 13% of gentamicin resistance and nil amikacin resistance among ESBL-PE which is much lower than the current study.²⁵ Another study has reported 70.2% of gentamicin resistance, 67% of netilmicin resistance and 18% of amikacin resistance in ESBL-PE which is contrary to the current report.24 These variations may be due to different geographical locations and study period.

Carbapenems are the generally preferred drugs for the treatment of ESBL-PE infection and it has lower failure rate in treating these infections compared to cephalosporin and fluoroquinolones. In the current study, ESBL-PE showed high susceptibility to carbapenems. They showed higher susceptibility to imipenem than meropenem which is in concordance with previous report from India. Even low rate of carbapenem resistance among ESBL-PE is of major concern and hence it should be used with caution.

In the current study, increased non-susceptibility was observed for ampicillin, piperacillin, and 3rd generation cephalosporins and this is due to the production of ESBLs and other betalactam hydrolyzing enzymes.

In the current study, the predominant ESBL encoding gene among the enterobacterial isolates is bla_{CTXM} group1 (83.4%) followed by TEM (37.4%) and SHV (27.8%). Several studies have reported similar findings of high levels of bla_{CTYM} genes among Enterobacteriaceae.²⁴ A study from India by Ensor et al., has reported high rate of bla_{CTXM} (73%) among cephalosporin resistant Enterobacteriaceae. 27 ESBL genes bla CTX-M15 belongs to bla_{CTX-M} group1 and has disseminated worldwide and is widely reported as predominant ESBL gene among Enterobacteriaceae.9 In the present report, $bla_{_{TEM}}$ was found in 37.4% ESBL-PE isolates; contrary to previous reports from India. A study by Jena et al, reported high proportion of bla_{TEM} 96.42% in ESBL-PE²⁷ and another study by Ray et al., has also reported bla_{TEM} as the most common ESBL among E. coli and K. pneumoniae.22 *bla*_{shv} gene was detected in less proportion (27.8%) in the current study and this is similar to the earlier study from India which documented lower rates of bla_{SHV} (6% and 21%) among E. coli and K. pneumoniae isolates.22 Co-occurrence of ESBL genes were observed in the present study and the same has been reported in the earlier studies. 22,24,28

Findings of the current study demonstrate the co-harboring of various resistant determinants

Table 3. Species distribution of ESBL producing isolates and its source

Species	Source (n=151)				Total		
	Urine	Sputum	Pus	Blood	Wound swab	Vaginal swab	
Escherichia coli	81(71.6%)	9(47.3%)	10(71.4%)	1(33.3%)	0(0)	1(100%)	102(67.5%)
Klebsiella pneumoniae	24(21%)	9(47.3%)	4(28.4%)	2(66.6%)	0(0)	0(0)	39(25.8%)
Citrobacter koseri	4(3.5%)	1(5.2%	0(0)	0(0)	0(0)	0(0)	5(3.3%)
Enterobacter cloacae	3(2.6%)	0(0)	0(0)	0(0)	0(0)	0(0)	3(1.9%)
Klebsiella oxytoca	1(0.8%)	0(0)	0(0)	0(0)	1(100%)	0(0)	2(1.3%)
Total	113	19	14	3	1	1	151

Table 3 shows the species distribution of ESBL-PE.

Table 4. Resistance determinants of ESBL-PE

Species(n)		Other resistant determinants			
	ESBL genes (n)	AmpC (n)	PMQR (n)	16SrRNA methylase (n)	
E.coli (102)	bla_{CTX-M} gp-1 (60) bla_{TEM-} (10) bla_{SHV} (3) bla_{CTX-M} gp-1+ bla_{TEM} (17) bla_{CTX-M} gp-1+ bla_{SHV} (10) bla_{CTX-M} gp-1+ bla_{TEM} + bla_{SHV} (2)	CMY (19)	qnrB (8) qnrS (3) aac (6')-lb-cr (41) qnrB+aac(6')- lb-cr (13) qnrS+aac (6')- lb-cr (2)	armA (5) rmtB (2)	
K.pneumoniae (39)	bla_{CTX-M} gp-1 (9) bla_{TEM} .(1) bla_{SHV} (7) bla_{CTX-M} gp-1+ bla_{TEM} (6) bla_{CTX-M} gp-1+ bla_{SHV} (6) bla_{TEM} + bla_{SHV} (1) bla_{CTX-M} gp-1+ bla_{TEM} + bla_{SHV} (9)	CMY (4)	qnrB (7) qnrS (1) aac (6')-lb-cr (7) qnrB+aac (6')- lb-cr (9) qnrS+aac (6')- lb-cr (1)	armA (4) rmtB (3)	
C.koseri (5)	$bla_{_{CTX-M}}$ gp-1(3) $bla_{_{SHV}}$ (1) $bla_{_{CTX-M}}$ gp-1+ $bla_{_{TEM}}$ (1)	CMY (1)	aac (6′)-Ib-cr (1) qnrB+aac (6′)- Ib-cr (1)	-	
E.cloacae (3)	bla_{CTX-M} gp-1(1) bla_{TEM} + bla_{SHV} (1) bla_{CTX-M} gp-1+ bla_{TEM} + bla_{SHV} (1)	-	qnrS (1)	-	
K.oxytoca (2)	$bla_{_{CTX-M}}$ gp-1 (1) $bla_{_{SHV}}$ (1)	-	aac (6′)-Ib-cr (1) qnrB+aac (6′)- Ib-cr (1)	-	

Table 4 shows the antibiotic resistance determinants of ESBL-PE (n represents No. of isolates).

by ESBL-PE. Co-existence of plasmid-mediated AmpC and ESBL genes were found in 15.8% of the isolates and this is similar to the study by Rizi et al., who reported co-production of AmpC in 16.7% of ESBL isolates.²⁹ Even in the present study, they exhibit lower resistance to aminoglycoside antibiotics, 9.2% of ESBL-PE was found to carry 16SrRNA methylase gene which is lower than the previous report by Ayad et al.³⁰ Our study shows high prevalence of fluoroquinolone resistant determinants among ESBL-PE isolates. The rate of co-existence of PMQR genes are *qnrB*–25.8%,

qnrS- 5.2% and aac6′1b-cr gene is 50.3% and it is similar to the previous report.³¹ Since all these resistant determinants are located on the mobile genetic elements, it can be easily acquired by the ESBL-PE facilitating the Multidrug-resistant phenotype, consequently making the treatment more difficult. Hence, it is critical to know the local resistance pattern and prevalent resistance genes of this Multidrug-resistant ESBL-PE as improper empirical therapy may lead to severe sepsis and poor outcome in cancer patients.

The study has certain limitations. The study data was from single centre, hence it may not depict epidemiology of different geographical locations. More clinical details of the cancer patients such as previous exposure to antibiotics and other co-morbidities are unavailable.

CONCLUSION

To the best of our knowledge, this is the first report regarding the antibiotic resistance determinants of ESBL-PE from cancer patients from our geographical location. The study data showed high prevalence of ESBLs among Enterobacteriaceae from cancer patients. The predominant ESBL encoding gene was bla_{CTXM} group 1. High proportion of ESBL-PE was found to co-harbor PMQR determinants and co-existence of AmpC and 16SrRNA methylase genes were also observed. Most of the ESBL-PE isolates showed Multidrug-resistant phenotype with few treatment options. Thus, the judicious usage of antibiotics and surveillance program should be implemented for cancer patients to prevent spread of ESBL-PE. Imipenem and netilmicin have highest sensitivity for ESBL-PE and can be considered for the treatment in our region. Further studies on prevailing resistant pattern from different regions of India are required to affirm the appropriate empirical therapeutic options.

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CONFLICT OF INTEREST

The authors declare that there is no conflict of interest.

AUTHORS' CONTRIBUTION

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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DATA AVAILABILITY

All datasets generated or analyzed during this study are included in the manuscript.

ETHICS STATEMENT

Not applicable.

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