

RESEARCH ARTICLE

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## Copper Oxide Nanoparticles: Antibacterial Efficacy and Biofilm Inhibition in *Klebsiella pneumoniae*

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### Abstract

This study evaluated the antibacterial efficacy, biofilm inhibition capabilities, and synergistic potential of copper oxide nanoparticles (CuONPs) against biofilm-forming *Klebsiella pneumoniae*, uniquely exploring previously unexplored mechanisms, particularly their influence on critical biofilm-associated gene regulatory networks. CuONPs were characterized by Atomic Force Microscopy (AFM) and Field Emission Scanning Electron Microscopy (FE-SEM), with antibacterial activity assessed through minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC). Synergistic effects with antibiotics (Clindamycin, Bactrim, and Imipenem) were evaluated using disc diffusion methods, while biofilm inhibition was quantified via microtiter plate assays and bacterial reduction rates were assessed by time-kill kinetics. Additionally, qRT-PCR was employed to analyze expression changes of biofilm-related genes (*luxS*, *mrkA*, *fimA*, *rcsA*, and *kpa*). Results demonstrated substantial antibacterial activity of CuONPs against *K. pneumoniae* with consistent MIC and MBC values at 50 mg/ml and 100 mg/ml, respectively, significant antibiotic synergism with reductions in required dosages by 40%-60%, notable biofilm impairment up to 80%, rapid bacterial decline within 2-4 hours, and significant downregulation of biofilm-associated genes *mrkA*, *fimA*, and *luxS* (up to 5-fold). In conclusion, CuONPs exhibit pronounced antibacterial and anti-biofilm properties, significantly enhanced by antibiotic synergy, providing novel insights into biofilm regulatory mechanisms and highlighting their clinical potential for treating multidrug-resistant *K. pneumoniae* infections.

**Keywords:** Copper Oxide Nanoparticles (CuONPs), Antibacterial Activity, Biofilm Inhibition, Antimicrobial Resistance

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## INTRODUCTION

The emergence of antimicrobial resistance (AMR) presents considerable difficulties to global public health, worsening the management of infectious illnesses and increasing morbidity and death rates globally.<sup>1</sup> Among the major AMR pathogens, *Klebsiella pneumoniae* is particularly alarming. This Gram-negative bacterium is notorious for its ability to form robust biofilms and its high resistance to multiple antibiotics, making infections extremely difficult to eradicate clinically.<sup>2</sup> Biofilm formation enables *K. pneumoniae* to persist on medical devices such as catheters and ventilators, as well as on host epithelial tissues. Within the biofilm, bacteria are protected by an extracellular matrix that facilitates long-term survival, horizontal gene transfer, and evasion of host immune responses, leading to chronic and recurrent infections often associated with treatment failure and elevated mortality rates.<sup>3</sup>

*K. pneumoniae* also exhibits a complex and evolving resistance profile. The pathogen has developed resistance to  $\beta$ -lactams, carbapenems, aminoglycosides, and fluoroquinolones, primarily through mechanisms such as extended-spectrum  $\beta$ -lactamases (ESBLs), carbapenemases, efflux pumps, and capsular regulation.<sup>4</sup> The antibiotics used in this study-imipenem, cotrimoxazole, and clindamycin-were chosen to reflect both clinical importance and scientific rationale. Imipenem was included because carbapenem resistance in *K. pneumoniae* is a global crisis, cotrimoxazole remains widely prescribed for urinary and respiratory infections despite increasing resistance, and clindamycin was tested to evaluate whether CuONPs could extend its spectrum against Gram-negative bacteria through synergistic effects. These antibiotics were selected based on clinical guidelines, local resistance concerns, and prior studies reporting enhanced efficacy when combined with nanoparticles.<sup>5,6</sup>

The genetic targets selected in this work represent key regulators of adhesion, biofilm formation, and virulence in *K. pneumoniae*. The *fimA* gene encodes type I fimbriae critical for epithelial adhesion, while *mrkA* encodes type III fimbriae that mediate strong attachment to medical devices and are essential for mature biofilm formation.<sup>7</sup> The *kpa* gene contributes

to fimbrial assembly and surface colonization, and *rcsA* regulates capsule biosynthesis, thereby strengthening biofilm structure and protecting cells against antimicrobial stress.<sup>3,8</sup> Finally, *luxS* is a quorum-sensing gene central to intercellular communication, regulation of virulence factors, and coordination of biofilm behavior.<sup>9</sup> These genes are frequently expressed in resistant clinical strains, making them ideal molecular markers for understanding the antibiofilm impact of CuONPs.

Recent advancements in nanotechnology have provided new avenues to combat resistant pathogens. Copper oxide nanoparticles (CuONPs), in particular, have attracted attention due to their high surface area-to-volume ratio, enhanced reactivity, and strong antibacterial properties. Their mechanisms of action include the generation of reactive oxygen species (ROS), disruption of cell membranes, and interference with bacterial metabolism.<sup>5,10,11</sup> However, despite increasing reports of their antibacterial and antibiofilm potential, little is known about how CuONPs influence the genetic regulatory networks underpinning biofilm formation in *K. pneumoniae*.

This research seeks to fill this significant gap by combining phenotypic testing with qRT-PCR analysis of genes related to biofilms. This approach offers a new understanding of the molecular mechanisms by which CuONPs impede biofilm development and improve antibiotic effectiveness. The results not only demonstrate the therapeutic efficacy of CuONPs against multidrug-resistant *K. pneumoniae* but also enhance the overall comprehension of nanoparticle-bacteria interactions at the genetic level. The study's dual emphasis on functional antibacterial effects and gene regulation differentiates it, addressing a significant gap in the literature and providing therapeutically pertinent techniques for managing persistent infections.

## MATERIALS AND METHODS

### Preparation and characterization of copper oxide nanoparticles

Copper oxide nanoparticles were synthesized chemically by a modified method based on Awaad et al., which entails the interaction of copper chloride ( $\text{CuCl}_2$ ) with sodium hydroxide ( $\text{NaOH}$ ) at pH 12. The resulting nanoparticles were

subjected to centrifugation, washing, and drying, and then synthesized at several concentrations (200-3.125 mg/ml) for experimental purposes. The operational concentration range of 200-3.125 mg/mL for CuONPs was determined based on published studies assessing nanoparticle antimicrobial efficacy,<sup>12,13</sup> alongside preliminary optimization experiments conducted in our laboratory to ascertain doses that elicited quantifiable antibacterial and antbiofilm effects without inducing significant aggregation or instability of the suspensions. This range guaranteed comparability with previous studies and biological significance for *in vitro* experiments. The nanoparticle suspensions were vortexed and sonicated to achieve uniformity.<sup>14</sup> Characterization was conducted utilizing Confocal Scanning Probe Microscopy (CSPM), Atomic Force Microscopy (AFM), and Field Emission Scanning Electron Microscopy (FE-SEM), confirming that the CuONPs were predominantly spherical, uniformly distributed, displayed a smooth morphology, exhibited minimal aggregation, and were suitable for biological and antibacterial assessments. An innovative component of our methodology encompasses comprehensive visualization and quantitative evaluation of nanoparticle-biofilm interactions, especially using sophisticated FE-SEM methods. The study assessed the entrapment efficiency of nanoparticles using UV-Vis spectrophotometry, revealing a 2.4% efficiency. This is sufficient for generating stable nanoparticle suspensions for antimicrobial assays, despite low theoretical copper content. Controls included untreated *K. pneumoniae* cultures and conventional antibiotic discs. Parallel assays with vehicle-only preparations showed no inhibitory activity, confirming the effects were solely due to active CuONPs.

#### **The culture of *K. pneumoniae***

The study necessitates the acquisition of biofilm-producing strains of Gram-negative bacteria to examine the impact of copper oxide nanoparticles on biofilm development. The reference bacterial strain *Klebsiella pneumoniae* ATCC 700603, recognized for its biofilm-forming capability, will be obtained from Biomedia SPD Scientific. The reference strain has been documented in prior investigations to demonstrate

moderate-to-strong biofilm formation under conventional laboratory settings.<sup>3,4</sup> This study experimentally established the strain's biofilm generation by the crystal violet microtiter plate assay conducted before treatment, revealing a robust baseline biofilm density in comparison to negative controls. This confirmation guaranteed that the following antibiofilm tests with CuONPs were performed on a biofilm-capable strain, enhancing the therapeutic significance of the results. The strain will be supplied as freeze-dried cultures, which will then be meticulously rehydrated according to the supplier's comprehensive instructions to guarantee the viability and integrity of the microorganisms. *K. pneumoniae* was grown on Tryptic Soy Agar (TSA), Mueller-Hinton agar and broth from (Oxoid, Thermo Fisher Scientific, UK), which were used for susceptibility testing, chosen for their nutritional content, which facilitates optimum development and homogeneity of colonies. The bacteria were cultured at 35-37 °C under typical atmospheric conditions for 18-24 hours to promote optimal bacterial growth and initial biofilm formation.

#### **Antimicrobial Activity**

##### **The agar diffusion method (Kirby-Bauer method)**

The agar diffusion technique was used to assess the antibacterial efficacy of CuONPs against *K. pneumoniae*, as described by Hudzicki.<sup>15</sup> Mueller-Hinton agar plates infected with *K. pneumoniae* had wells filled with differing quantities of CuONPs (200-3.125 mg/ml). Following an initial incubation at 5-8 °C for 2-4 hours to facilitate nanoparticle diffusion, plates were then incubated for 24 hours at 37 °C. The antibacterial activity was assessed by measuring the width of inhibition zones around each well, with findings compared to positive and negative controls.

#### **Determination of the (MIC) and (MBC) of CuONPs**

The Minimum Inhibitory Concentration (MIC) and Minimum Bactericidal Concentration (MBC) of CuONPs against *K. pneumoniae* were determined using the dilution tube method.<sup>16</sup> Various concentrations of CuONPs (from 200 mg/ml to 3.125 mg/ml) were prepared in Mueller-Hinton broth. All media were obtained from Oxoid (Thermo Fisher Scientific, UK), inoculated with standardized bacterial suspensions matching a

0.5 McFarland turbidity standard, and incubated at 37 °C for 18–24 hours. Tubes showing no bacterial growth were further tested by plating onto tryptic soy agar to confirm bactericidal activity. Experiments were performed in triplicate to guarantee precision and repeatability, adhering to the Clinical and Laboratory Standards Institute (CLSI) criteria (CLSI, 2021. M100 Performance Standards for Antimicrobial Susceptibility Testing, 31<sup>st</sup> Edition). All assays incorporated suitable controls: (i) negative control tubes with uninoculated Mueller–Hinton broth to verify sterility and exclude contamination, and (ii) positive control tubes containing bacterial inoculum devoid of CuONPs to establish baseline growth and validate assay efficacy.

#### **The synergistic effect of CuONPs with broad-spectrum antibiotics**

The combination antibacterial efficacy of CuONPs and antibiotics (Bactrim, Clindamycin, and Imipenem) against *K. pneumoniae* was assessed by the disc diffusion technique as described by Alnuaimi et al and Murugan.<sup>17,18</sup> Antibiotic discs and CuONPs solutions were produced separately and kept correctly. Standardized bacterial suspensions were injected onto Tryptic Soy Agar plates to create a homogenous bacterial lawn. Antibiotic discs, nanoparticle solutions, and their mixtures were used on these plates. Following incubation at 36 ± 1 °C for 24 hours, inhibition zones were quantified to evaluate antimicrobial effectiveness. Each experiment was conducted in triplicate to ensure precision and repeatability, to identify synergistic interactions for possible therapeutic uses against resistant bacteria.

#### **Evaluation of biofilm production by *K. pneumoniae* in exposure to CuONPs**

The impact of CuONPs on biofilm development by *K. pneumoniae* was evaluated utilizing the conventional microtiter plate (MTP) technique with crystal violet staining.<sup>19</sup> Bacterial cultures were calibrated to 0.5 McFarland (about 1 × 10<sup>6</sup> CFU/mL) and injected into sterile 96 well flat-bottom plates containing Mueller–Hinton broth augmented with CuONPs at concentrations between 200 and 3.125 mg/mL. Untreated bacterial cultures acted as positive controls (highest biofilm development), whilst wells containing only

medium functioned as negative controls. Following a 24-hour incubation at 37 °C, non-adherent cells were eliminated using PBS washing, and the wells were subsequently stained with 0.1% crystal violet for 15 minutes. The surplus stain was removed, and the bound dye was dissolved in 95% ethanol. Optical density was quantified at 570 nm (OD<sub>570</sub>) with a microplate reader. Values were adjusted by subtracting the blank (media-only wells) to standardize for background absorbance. Two experimental setups were conducted: (i) CuONPs were introduced concurrently with bacterial inoculation to assess biofilm inhibition, and (ii) CuONPs were administered after 24 hours of incubation to evaluate biofilm eradication. Each condition was evaluated in duplicate wells and replicated across three different experiments. Data were presented as mean ± standard deviation (SD). Statistical significance relative to controls was evaluated using one-way ANOVA, followed by Dunnett's post hoc test in GraphPad Prism. The selected concentration range of CuONPs (200–1.56 mg/mL) was determined based on previous studies<sup>12,13</sup> and initial optimization tests that confirmed detectable effects without precipitation or instability.

#### **Time-kill curve method for CuONPs**

Time-kill curve experiments were conducted to assess the bactericidal efficacy of CuONPs against *Klebsiella pneumoniae* ATCC 700603, the method was used as described by Alshareef<sup>20</sup> and Foerster et al.<sup>21</sup> with modifications. Bacterial suspensions were calibrated to 0.5 McFarland (about 1 × 10<sup>6</sup> CFU/mL) and introduced into sterile test tubes containing Mueller–Hinton broth (MHB) augmented with CuONPs at varying concentrations (0.5×, 1×, and 2× MIC). Cultures were maintained at 37 °C, with aliquots extracted at 0, 1, 2, 3, 4, 5, 6, 7, and 8 hours. At each time point, samples were serially diluted (10<sup>-1</sup> to 10<sup>-4</sup>) in sterile saline and subsequently plated on Mueller–Hinton agar (MHA). Plates were incubated at 37 °C for 24 hours, after which colony-forming units (CFU/mL) were counted. Bacterial survival was quantified as log<sub>10</sub> CFU/mL over time to produce time-kill curves. Untreated bacterial cultures functioned as negative controls. All experiments were conducted in duplicate to guarantee repeatability.

**Table 1.** Primer sequences and characteristics of target genes and the housekeeping gene (*rpoB*) used for qPCR analysis in *K. pneumoniae*.

Gene and accession number	Sequence (5'→3') F (sense), R (antisense)	GC (%) (F / R)	Slope	Efficiency (%)	R <sup>2</sup>	Annealing Temp. (°C)	Primers Concen. (nM)	Product size (bp)
<i>LuxS</i> NC_007795.1	F: GGAACCTGGGAGAAATTATCGA R: GCACTGGTAGGAGCTTAGAGC	42.9 52.6	-3.34 -3.16	99.03 107.21	0.987 0.982	53.5 52.5	250	150
<i>mrkA</i> CP000647.1	F: CCCCTGACTGAAAGTTAAAGCGG R: CGTCACITGTTAACAGGCCAG	52.4 52.6	-3.50	92.95	0.991	52.5	250	298
<i>fimA</i> CP000647.1	F: TGATTGGTGTGTTGTCGGTC R: CTGGATGAAAGAAACGGACG	50.0 55.0	-3.40	96.65	0.97	57.3	250	300
<i>rcsA</i> CP000647.1	F: ATTGCTGCAGATAACCCGGTTGG R: AGATCCGCCATTGATAACGAGTAC	50.0 52.2	-3.30	100.89	0.993	52.0	250	400
<i>kpa</i> CP000647.1	F: GCCGATACGCTACATTATAAC R: CAGGTTCGCGATTATTATCC	42.9 40.9	-3.55	91.06	0.983	59.8	500	250
STD <i>rpoB</i> for <i>K. pneumoniae</i> MGH 78578	F: CGCTGCTGAGATACGAC R: CGTCAGTAACCAACCGTTG	55.0						108

## Quantification of biofilm gene expression before and after treatment with CuONPs

### RNA extraction of bacteria

Total RNA was isolated from *Klebsiella pneumoniae* cultures subjected to CuONPs and untreated controls using a commercial RNA extraction kit (Monarch® Total RNA Miniprep Kit, New England Biolabs, USA), following the manufacturer's procedure. The purity and concentration of RNA were assessed using a microvolume spectrophotometer.

### cDNA synthesis

RNA, about 58.2 ng per sample, was reverse-transcribed into complementary DNA (cDNA) with the SensiFAST cDNA Synthesis Kit (Bioline, UK), following the manufacturer's instructions. The final cDNA quantities used for subsequent qPCR assays were standardized to about 2.91 ng/μL.

### Primer formulation and quantitative PCR protocol

Primers aimed at quorum sensing genes (*luxS*, *rcsA*) and fimbriae genes (*kpa*, *mrkA*, *fimA*) were developed with Primer Express software or obtained from sequences in published literature. Quantitative real-time PCR (qPCR) was conducted with a 2x qPCR BIO SyGreen Mix Separate-ROX (PCR Biosystems, UK). Each 20 μL reaction included 10 μL of SyGreen Mix, 0.4 μL of each primer (forward and reverse, 10 μM each), 0.25 μL of cDNA template (final concentration 0.73 ng), and nuclease-free water. The PCR cycling protocol included an initial activation phase at 95 °C for 5 minutes, followed by 40 cycles of 95 °C for 10 seconds, primer-specific annealing for 20 seconds (with fluorescence measurement), and extension at 72 °C for 30 seconds. The melting curve analysis (74-95 °C) validated the specificity of the PCR products. Negative controls were included, and experiments were performed in triplicate.

A novel aspect of our approach includes a comprehensive molecular assessment of biofilm-associated gene regulatory responses using quantitative real-time PCR, giving us extensive knowledge of the genetic mechanisms underlying nanoparticle-bacterial interactions. A reference gene (*rpoB*), along with primers for *luxS*, *mrkA*, *fimA*, *rcsA*, and *kpa*. All expression levels were normalized to the housekeeping gene

*rpoB* for exact quantification, were created using published sequences or acquired from GenBank. Primer efficiency and specificity were validated by standard curves. The details of all primers used in this study are summarized in Table 1.

#### Quantification and analysis of gene expression

Gene expression levels were assessed using the comparative *Cq* technique to ascertain the relative quantification of target genes, normalized against appropriate reference genes. Data were statistically analyzed using bespoke software with specified methods, guaranteeing precise and repeatable outcomes.

#### Laboratory equipment and quality control

Experiments were performed using calibrated equipment, including a BINDER incubator (Germany), Hettich Universal 320R centrifuge (Germany), and Thermo Scientific GENESYS 10S spectrophotometer (USA). Sterility of media was confirmed by uninoculated controls, and the standard reference strain (*S. aureus* ATCC 29213) was included to ensure assay validity.

#### Statistical data analysis

Statistical analysis will be performed using GraphPad Prism, version 10.4.0. One-way

analysis of variance (ANOVA) and Dunnett's test will be used for analysing differences between OD values. A value of  $p < 0.0001$  will be considered statistically significant.

## RESULTS

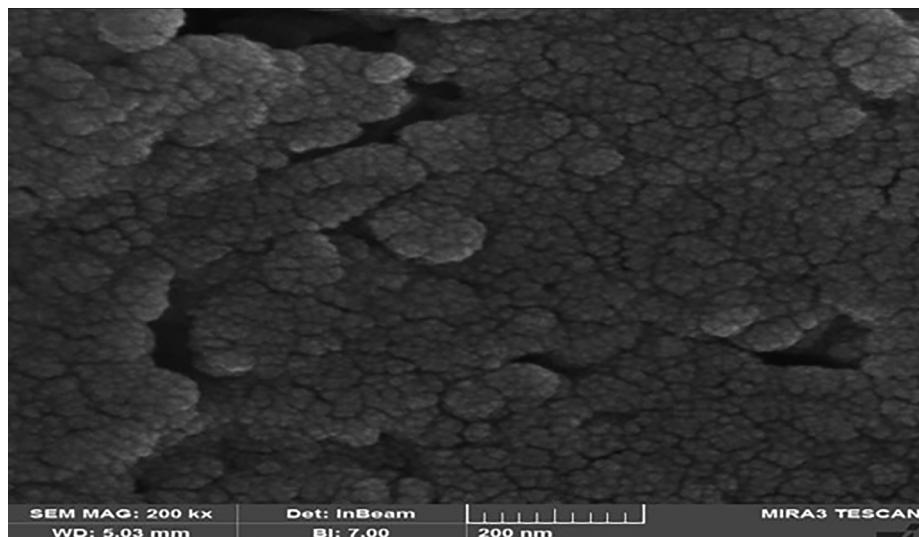
### Characterization of CuONPs

#### Field Emission Scanning Electron Microscopy (FE-SEM) of CuONPs

FE-SEM characterization validated the morphological characteristics of the produced CuONPs (Figure 1). The nanoparticles were primarily spherical, evenly dispersed, displayed smooth surfaces, and exhibited minimal aggregation. The particle size of approximately 55.98 nm corresponded with the findings from AFM and CSPM analyses, validating the suitability of the CuONPs for biological testing. No antibacterial or antibiofilm effects were seen in the vehicle-only control groups, confirming that the inhibitory activity was exclusively due to the CuONPs and not the carrier medium.

#### Antimicrobial activity of CuONPs against *K. pneumoniae*

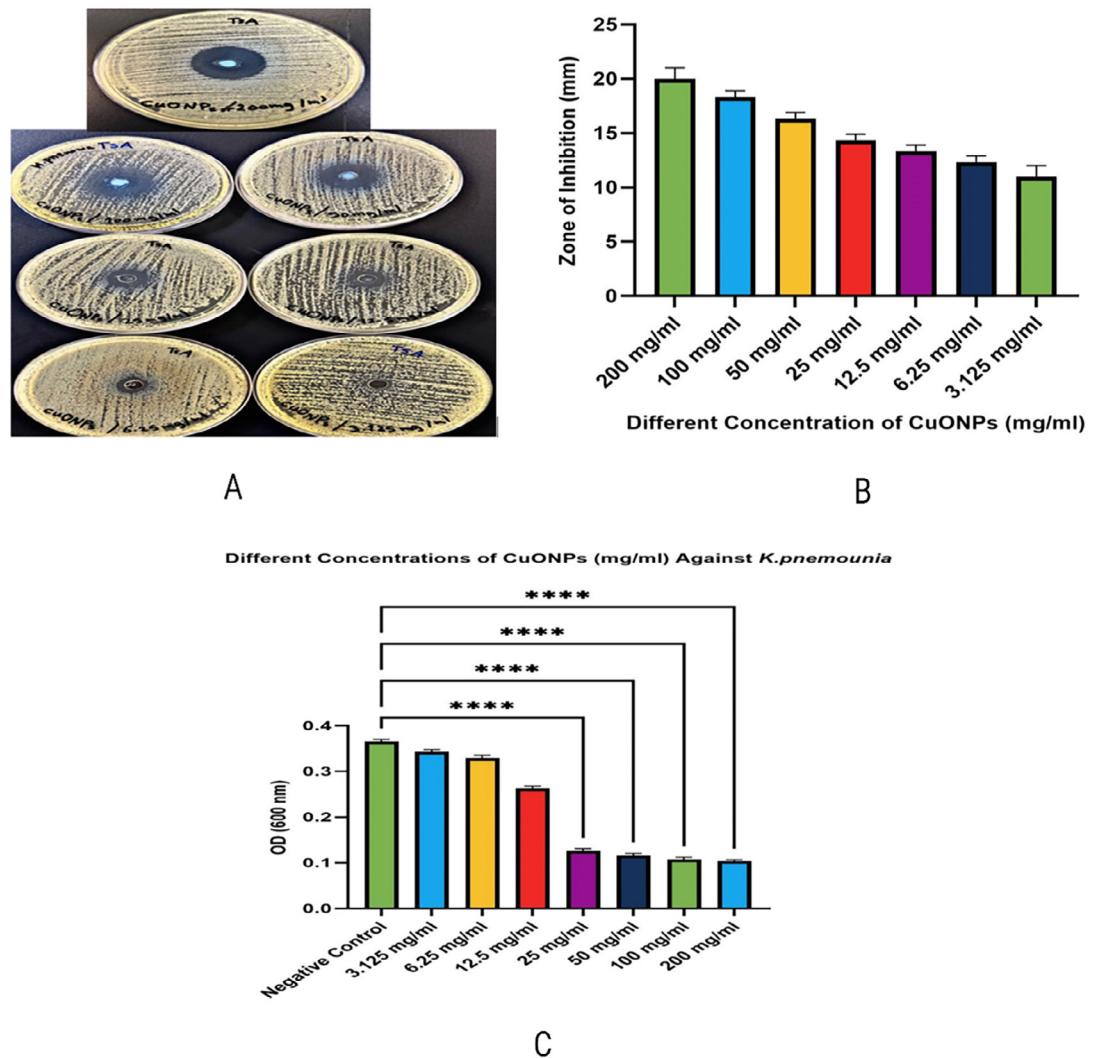
The antibacterial effectiveness of CuONPs against *K. pneumoniae* was thoroughly evaluated



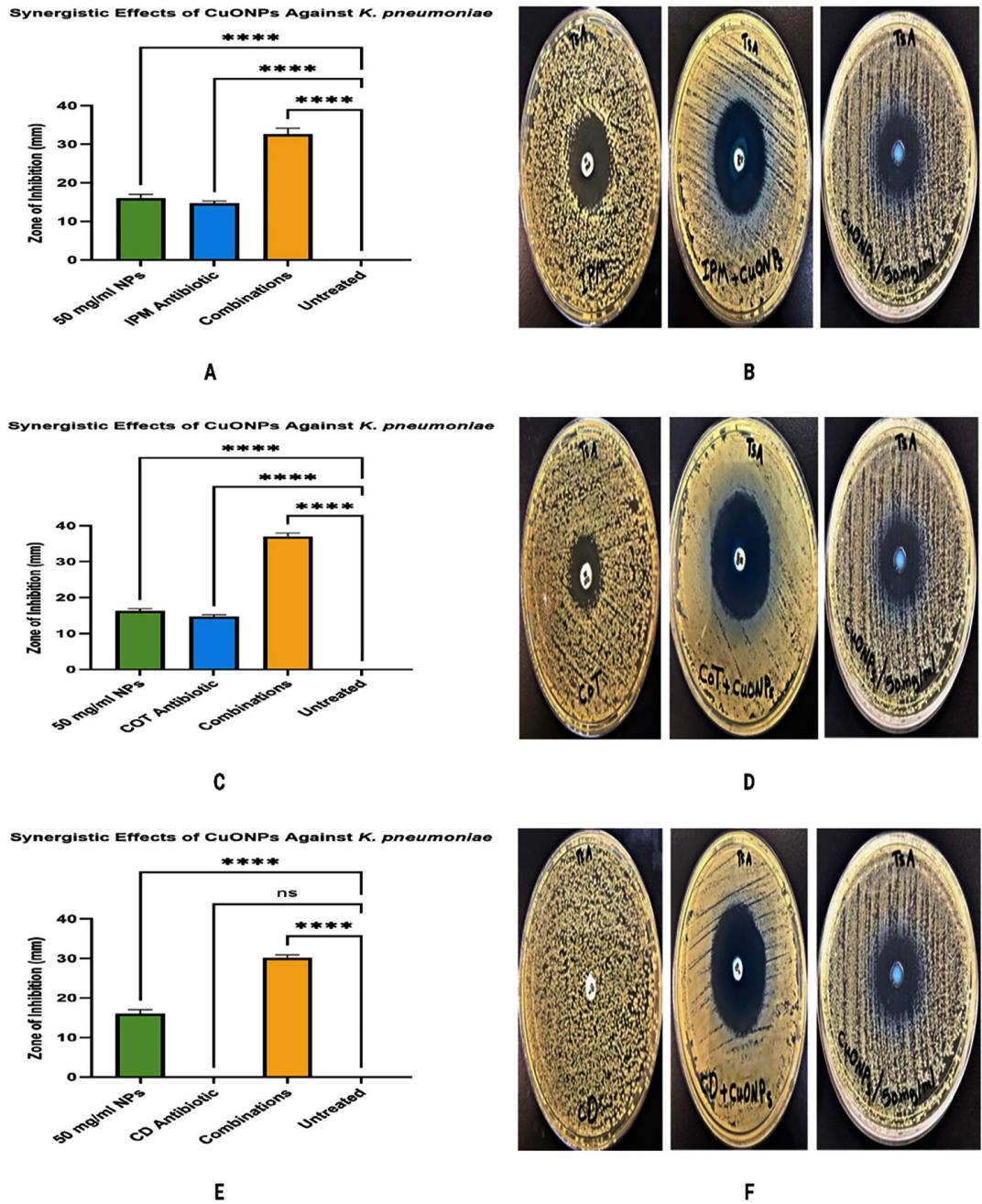
**Figure 1.** Field Emission Scanning Electron Microscopy (FE-SEM) micrograph of CuONPs showing predominantly spherical morphology, smooth surface features, minimal aggregation, and uniform distribution with an average size of ~55.98 nm. Scale bar = 500 nm

using the agar diffusion technique, demonstrating a clear, concentration-dependent suppression of bacterial growth ( $P < 0.0001$ ). The maximum tested concentration (200 mg/ml) yielded the most extensive inhibitory zone (21-22 mm), while lesser doses exhibited correspondingly reduced zones 100 mg/ml (19-20 mm), 50 mg/ml (16-18 mm), 25 mg/ml (14-16 mm), 12.5 mg/ml (12-14 mm), 6.25 mg/ml (10-12 mm), and 3.125 mg/ml

(8-10 mm) (Figure 2). The bar graph quantifies this data by illustrating the inhibition zone widths for each concentration. Bacterial growth was significant in untreated controls; however, CuONPs at doses of 12.5 mg/ml and above demonstrated pronounced antibacterial efficacy, with almost total bacterial elimination seen at 100 and 200 mg/ml. The strong antibacterial impact is due to the production of  $\text{Cu}^{2+}$  ions, which damage bacterial



**Figure 2.** (A) Agar diffusion assay showing visible inhibition zones around wells containing increasing concentrations of CuONPs (3.125-200 mg/ml), illustrating a clear concentration-dependent antibacterial effect. (B) Bar graph quantifying the diameter of inhibition zones, with the highest activity observed at 200 mg/ml and decreasing progressively with lower concentrations ( $P < 0.0001$ ). (C) Effect of CuONPs on bacterial viability measured by optical density ( $\text{OD}_{600}$ ), showing significant growth inhibition at concentrations  $\geq 12.5$  mg/ml, with near-complete inhibition at 100 and 200 mg/ml. Data are presented as mean  $\pm$  SD; \*\*\*\* indicates  $P < 0.0001$  compared to the untreated control



**Figure 3.** Synergistic antibacterial effects of (CuONPs, 50 mg/ml) combined with different antibiotics against *K. pneumoniae*. (A,B) CuONPs combined with imipenem (IPM) showed a significantly enhanced zone of inhibition compared to either agent alone ( $P < 0.0001$ ). (C,D) A similar synergistic effect was observed for CuONPs combined with cotrimoxazole (COT), with the combination showing greater antibacterial activity than individual treatments. (E,F) Combination of CuONPs with clindamycin (CD) showed no significant difference compared to clindamycin alone, indicating a lack of synergistic effect (ns)

membranes, deactivate vital enzymes, and hinder DNA replication, finally leading to cell lysis.<sup>10,12,22</sup> Moreover, optical density studies at 600 nm validated a dose-dependent decrease in bacterial viability, with statistically significant decreases seen at higher concentrations. Furthermore, CuONPs significantly impeded biofilm formation, a crucial element in chronic and multidrug-resistant infections, highlighting their potential as efficient antimicrobial agents against resistant *K. pneumoniae* strains.<sup>12</sup> These results align with other studies validating the extensive antibacterial and antibiofilm properties of CuONPs.<sup>13</sup>

**Table 2.** Biofilm Production of CuONPs Against *K. pneumoniae*

Concen. of CuONPs	KP + CuONPs %	Biofilm Production
Negative Control	100%	Strong biofilm former
200 mg/ml	33.8%	Non-biofilm former
100 mg/ml	35.2%	Non-biofilm former
50 mg/ml	36.7%	Non-biofilm former
25 mg/ml	38.2%	Non-biofilm former
12.5 mg/ml	48.2%	Non-biofilm former
6.25 mg/ml	71.3%	Weak biofilm former
3.125 mg/ml	84.8%	Weak biofilm former
1.56 mg/ml	89.9%	Weak biofilm former

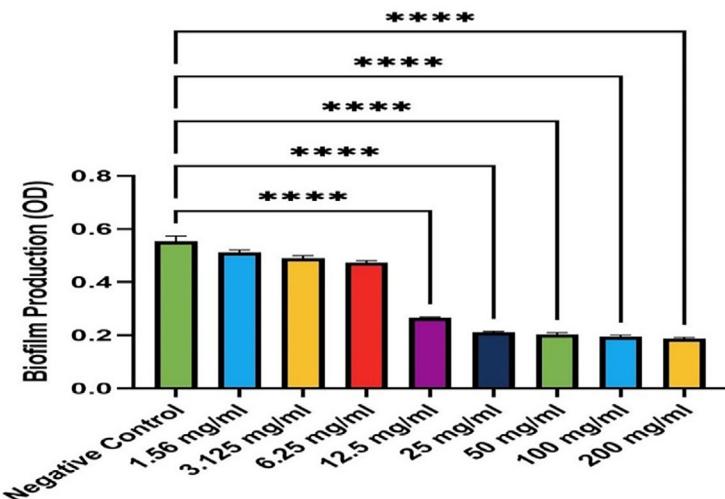
### Determination of the MIC and MBC of CuONPs

The antibacterial effectiveness of CuONPs against *K. pneumoniae* was evaluated by measuring the MIC and MBC. The MIC was determined to be 50 mg/ml, while the MBC was 100 mg/ml, yielding a MIC/MBC ratio of 0.5. Ratios under 1 signify potent bactericidal efficacy, indicating that CuONPs efficiently eradicate bacteria rather than just suppressing their proliferation.<sup>23</sup> The significant effectiveness against *K. pneumoniae* underscores the potential of CuONPs as a formidable antibacterial agent, particularly efficient against Gram-negative bacteria owing to their distinctive interaction with bacterial cell structures.

### The Synergistic Effect of CuONPs with IPM, COT, and CD Against *K. pneumoniae*

The antibacterial efficacy of CuONPs (50 mg/mL), in combination with three antibiotics, imipenem (IPM), cotrimoxazole (COT), and clindamycin (CD), was evaluated against *K. pneumoniae* using the agar diffusion method. Individually, CuONPs exhibited notable antibacterial activity, with inhibition zones averaging 16.00-16.33 mm, while IPM and COT alone produced slightly smaller zones (14.67 mm each), all significantly larger than the untreated control ( $p < 0.0001$ ). When combined, CuONPs

### Biofilm Production of CuONPs Against *K. pneumoniae*



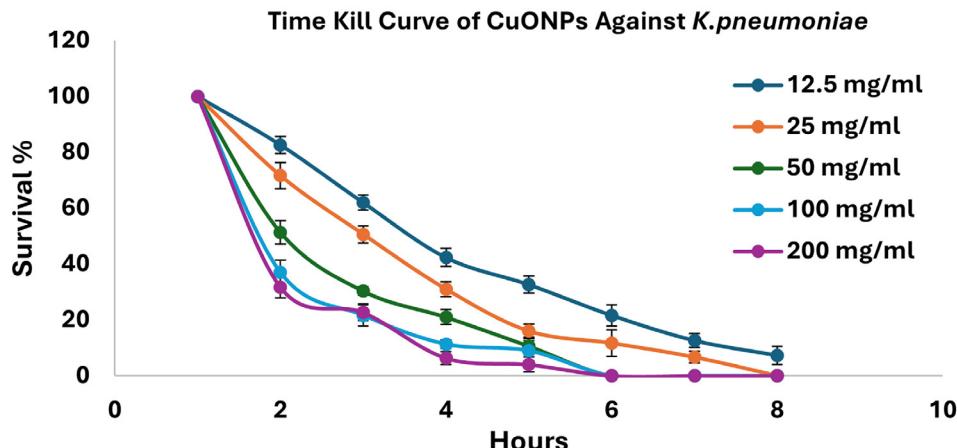
**Figure 4.** One-way ANOVA with Dunnett's multiple comparisons test showed a significant reduction in biofilm compared to the negative control ( $P < 0.0001$ ). Results are shown as mean  $\pm$  SEM, with error bars representing standard deviation (SD) from three independent experiments ( $n = 3$ )

and IPM yielded an inhibition zone of 32.67 mm, and CuONPs with COT resulted in an even larger zone of 37.00 mm, both demonstrating a statistically significant synergistic enhancement over monotherapies ( $p < 0.0001$ ). In contrast, while CD alone showed negligible antibacterial activity ( $p > 0.9999$ ), its combination with CuONPs produced a substantially increased inhibition zone of 30.17 mm ( $p < 0.0001$ ), indicating a synergistic effect despite clindamycin's limited standalone efficacy. The 95% confidence intervals confirmed

these results, affirming the synergistic antibacterial potential of CuONPs when used in conjunction with conventional antibiotics against multidrug-resistant *K. pneumoniae*, as shown in Figure 3.

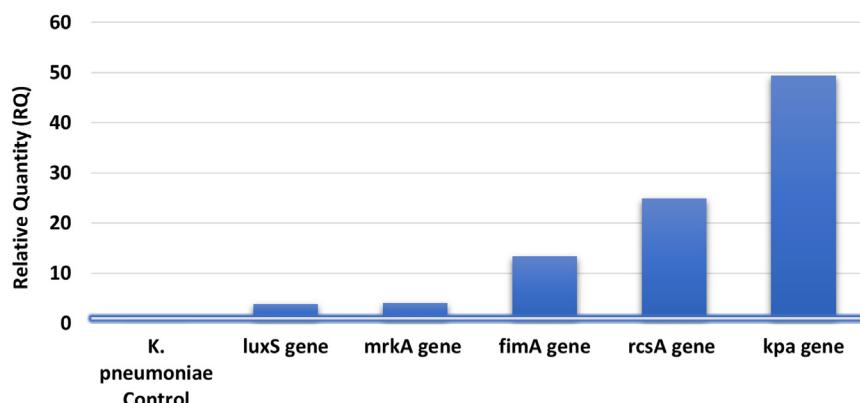
#### Effect of CuONPs on Biofilm Production Against *K. pneumoniae*

The experimental findings demonstrated a distinct dose-dependent inhibitory impact of CuONPs on the biofilm development of *K. pneumoniae*. Lower dosages (1.56-6.25 mg/mL)



**Figure 5.** Data are presented as means  $\pm$  standard error of the mean (SEM), with error bars representing the standard deviation (SD) from three independent biological replicates ( $n = 3$ )

#### Relative Expression Levels of Biofilm-Associated Genes in *K. pneumoniae*



**Figure 6.** Gene expression was quantified by RT-qPCR and expressed as relative quantity (RQ) compared to the untreated control. All tested genes (*luxS*, *mrkA*, *fimA*, *rcsA*, and *kpa*) showed significant upregulation, with *kpa* exhibiting the highest expression (49.36-fold), indicating strong activation of adhesion and biofilm-related pathways in response to CuONPs

exhibited moderate decreases in biofilm biomass (71.3%-89.9% biofilm development relative to control), indicating limited antibiofilm efficacy. Conversely, higher doses (12.5-200 mg/mL) markedly decreased biofilm development, with the most pronounced effects seen between 25-200 mg/mL, resulting in roughly 33.8%-48.2% biofilm formation ( $p < 0.0001$ ). These results underscore CuONPs as effective antibiofilm agents, particularly at elevated dosages, with potential therapeutic significance for managing chronic *K. pneumoniae* infections (Table 2 and Figure 4). The strain's strong biofilm-forming potential enhances the credibility of the antibiofilm findings, since it closely resembles the biofilm-related persistence seen in clinical isolates. Despite variability across clinical strains, employing a validated biofilm-proficient reference strain guarantees that the observed antibiofilm actions of CuONPs are pertinent to pathogenic *K. pneumoniae* found in healthcare environments.<sup>3,4</sup>

#### Demonstration of the time kill curve of CuONPs against *K. pneumoniae*

The time-kill curve analysis revealed a distinct concentration-dependent bactericidal action of CuONPs on *K. pneumoniae*. Elevated doses (200 mg/ml and 100 mg/ml) rapidly reduced bacterial viability, attaining almost total elimination within 6 hours. Reduced doses (50, 25, and 12.5 mg/ml) exhibited reduced bacterial reduction rates, with the lowest dosage (12.5 mg/ml) permitting the survival of some viable bacteria even after 8 hours. These results corroborate prior studies, highlighting the significant antibacterial efficacy of CuONPs at elevated dosages (Figure 5).

#### Quantification of biofilm gene expression using the qRT-PCR

##### Relative expression levels of the *luxS*, *mrkA*, *fimA*, *rcsA*, and *kpa* Genes in *K. pneumoniae* treated with CuONPs

Analysis of gene expression in *K. pneumoniae* following CuONP treatment demonstrated consistent upregulation of multiple genes related to biofilm formation and pathogenicity. All expression values were normalized to the housekeeping gene *rpoB* to ensure accuracy. The *luxS* gene, essential for quorum sensing and regulation of biofilm-

associated virulence factors, was upregulated 3.87-fold, indicating CuONP-mediated modulation of quorum-sensing pathways and bacterial communication (Figure 6). The *mrkA* gene, encoding the structural subunit of type 3 fimbriae critical for adhesion and biofilm development, showed a 4.06-fold increase, suggesting a potential adaptive response to nanoparticle exposure and highlighting *mrkA* as a relevant biomarker in antibiofilm strategies.<sup>4</sup> The *fimA* gene, responsible for type 1 fimbriae involved in adhesion and colonization, exhibited a 13.30-fold increase, reflecting a strong CuONP-driven effect on adherence mechanisms.<sup>7</sup> The *rcsA* gene, part of the *rcs* phosphorelay system regulating capsule synthesis and biofilm maturation, was upregulated 24.87-fold, suggesting nanoparticle-induced capsule-associated stress responses.<sup>8</sup> Finally, *kpa*, linked to fimbrial components for surface attachment and colonization, demonstrated the highest increase at 49.36-fold, underscoring the profound impact of CuONPs on adhesion pathways and bacterial persistence.<sup>3</sup> To validate the relative expression levels derived from RT-qPCR, statistical comparisons were conducted on Cq values (target adjusted to the housekeeping gene *rpoB*) between the control and CuONPs-treated groups. The research revealed that all examined genes exhibited significant upregulation after exposure to CuONPs: *luxS* ( $p = 0.003$ ), *mrkA* ( $p = 0.001$ ), *fimA* ( $p = 0.0005$ ), *rcsA* ( $p = 0.0006$ ), and *kpa* ( $p < 0.0001$ ). The results validate that the observed fold variations, varying from roughly 4-fold for *luxS* to over 45-fold for *kpa*, are not attributable to random variation but signify statistically meaningful transcriptional responses. The data collectively demonstrate that CuONPs significantly influence biofilm-related and pathogenicity pathways in *K. pneumoniae*. The data indicate that CuONPs significantly modify gene expression patterns related to biofilm formation, virulence, and stress adaptation in *K. pneumoniae*, affecting pathogenicity and antimicrobial resistance mechanisms.

## DISCUSSION

Among the top-priority diseases designated by the World Health Organization (WHO), multidrug-resistant (MDR) *K. pneumoniae*

has arisen as a major concern for public health around the world. Persistent infections, treatment failure, and increased morbidity and mortality are greatly exacerbated by its capacity to establish strong biofilms on both host tissues and medical devices. Traditional antibiotics have a very low success rate against biofilm-associated bacteria since these microbes are up to a thousand times more resistant to these drugs.<sup>24</sup> The increasing resistance to existing antibiotics highlights the critical need for new approaches that can eradicate *K. pneumoniae* in its biofilm and in its planktonic forms. Because of their diverse antibacterial mechanisms, unique physicochemical features, and ability to disrupt biofilm formation, metal-based nanoparticles like CuONPs have garnered a lot of attention in this context.<sup>25</sup> This research looks at the phenotypic and gene expression impacts of CuONPs in *K. pneumoniae*, namely their antibacterial and antibiofilm capabilities. The antibacterial efficacy of CuONPs against *K. pneumoniae* was shown to be significantly concentration-dependent, as evidenced by the agar diffusion experiment. The inhibition zones varied from 20 mm at the maximum concentration (200 mg/ml) to 10 mm at the minimum (3.125 mg/ml), clearly demonstrating that elevated CuONP concentration improves antibacterial effectiveness (Figure 2). The results align with Khairy et al., who documented comparable dose-dependent bactericidal efficacy, with minimum inhibitory concentrations (MICs) between 62.5 and 125 µg/ml.<sup>13</sup> Similarly, studies highlighted the efficacy of CuONPs against biofilm-forming bacteria, accentuating their therapeutic applicability in addressing illnesses linked to biofilms and antibiotic resistance.<sup>26,27</sup> Numerous supplementary investigations have shown inhibition zones ranging from 13 to 20 mm, hence reinforcing the efficacy and dependability of CuONPs as antimicrobial agents in medical and industrial contexts.<sup>28-30</sup> In addition to the diffusion data, optical density (OD<sub>600</sub>) tests indicated a significant reduction in bacterial growth at elevated CuONP concentrations. Specifically, concentrations of 50 mg/ml and higher (100 mg/ml and 200 mg/ml) demonstrated almost total suppression of *K. pneumoniae* growth, corroborating the bactericidal activity at increased dosages. This data corresponds with previous observations, indicating

that lower doses displayed bacteriostatic effects, but greater amounts resulted in complete bacterial elimination.<sup>31,32</sup> These data together highlight the significant potential of CuONPs as effective antibacterial agents, particularly in suppressing *K. pneumoniae* at high concentrations, hence endorsing their prospective use in therapeutic and preventative contexts.

The synergistic effect of CuONPs with antibiotics was evaluated using the disc diffusion method, providing preliminary qualitative evidence of enhanced antibacterial activity. This method is ineffective in obtaining measurable values for interaction strength. The checkerboard assay, which assesses the Fractional Inhibitory Concentration Index (FICI), is considered the gold standard for synergy evaluation. Checkerboard analysis was omitted from this study owing to constraints in resources and time. We acknowledge this as a constraint and recommend that future studies incorporate checkerboard assays and time-kill synergy experiments to validate and quantify the observed interactions. This work demonstrated substantial synergistic antibacterial activity of CuONPs in conjunction with the medicines imipenem (IPM), cotrimoxazole (COT), and clindamycin (CD) against *K. pneumoniae*. CuONPs combined with IPM notably enhanced antibacterial efficacy, significantly reducing bacterial proliferation and resistance compared to treatments with each agent alone, as shown in Figure 3, as corroborated by recent studies by Rand<sup>6</sup> and Valadbeigi et al.<sup>33</sup> Similarly, combining CuONPs with cotrimoxazole markedly increased the inhibition zone to 37 mm, surpassing the effects of CuONPs (16.3 mm) or cotrimoxazole (14.7 mm) individually. This synergy likely results from nanoparticle-induced membrane disruption enhancing antibiotic penetration, aligning with findings from Javadi et al.<sup>34</sup> Furthermore, the combination of CuONPs with clindamycin dramatically restored antibacterial activity against *K. pneumoniae*, producing a substantial inhibition zone (~30-31 mm) compared to negligible effects from clindamycin alone. This pronounced synergy appears driven by CuONP-mediated membrane damage, oxidative stress, and increased antibiotic uptake, thereby extending the spectrum of clindamycin to include Gram-negative pathogens, supported by previous work from Quintero-

Garrido et al.<sup>35</sup> and Alazavi.<sup>36</sup> Collectively, these findings highlight CuONPs' potential as robust adjunctive agents capable of overcoming bacterial resistance and enhancing antibiotic effectiveness against multidrug-resistant *K. pneumoniae*.<sup>37,38</sup>

This study exhibited a distinct dose-dependent antibiofilm efficacy of CuONPs. against *K. pneumoniae*. Lower doses (1.56-6.25 mg/mL) marginally decreased biofilm production to 71.3%-89.9%, but higher concentrations (12.5-200 mg/mL) substantially reduced biofilm formation to roughly 33.8%-48.2%, as shown in Table 2 and Figure 4. The results corroborate earlier studies by Sen and Sarkar and Elbialy et al., indicating that the antibiofilm action of CuONPs is mostly attributable to structural damage and oxidative stress imposed on bacterial cells, hence augmenting antimicrobial efficacy.<sup>39,40</sup> Studying the time-kill curve, researchers found that CuONPs effectively killed *K. pneumoniae* bacteria at concentrations ranging from 100-200 mg/ml, with a rapid reduction in bacterial viability observed within 6 hours. Time kill studies revealed a significant decrease in germs within the first twenty-four hours. Figure 5 shows that the killing impact peaked at 32 hours, and there was no further substantial decline in viable numbers after that. Intermediate and lower amounts had limited efficacy, underscoring dosage as a pivotal element in bacterial elimination. The findings correspond with earlier research by Rand and Shehabeldin et al., which also showed strong bactericidal effects and decreased bacterial growth using CuONPs, highlighting their potential as a viable treatment alternative for resistant bacterial infections.<sup>6,12</sup>

After treating *K. pneumoniae* with CuONP, gene expression analysis showed that many important genes were significantly modulated. Figure 6 shows that *luxS* gene expression was 3.87-fold higher than expected, which is consistent with earlier findings of quorum-sensing changes caused by nanoparticles but may have complicated effects on biofilm development.<sup>41,42</sup> A 4.06-fold rise in the *mrkA* gene, which is essential for biofilm formation, is in line with previous research showing changed *mrkA* expression as a result of nanoparticle exposure; however, silver nanoparticles (AgNPs) had the opposite impact, downregulating *mrkA*.<sup>42-44</sup> There may have been consequences on bacterial adhesion

and biofilm processes, since the expression of the *fimA* gene rose by a factor of 13.30. Nanoparticles of zinc oxide and silver have been found to decrease *fimA* expression and biofilm formation, respectively, in previous research.<sup>45,46</sup> Furthermore, *rcsA* expression, which is critical for biofilm development and capsule production, increased significantly (24.87-fold), contradicting previous research suggesting that nanoparticles downregulate genes related to capsules and demonstrating how nanoparticle properties impact bacterial gene responses.<sup>47</sup> The last finding is the *kpa* gene expression, which rose 49.36-fold. This indicates that CuONPs have a major impact on the adhesion processes of bacteria, and it may reflect adaptive bacterial responses before biofilm breakdown.<sup>9,48</sup> The results show that nanoparticles interact with bacterial regulatory systems in complex and diverse ways, which has important consequences for antibiofilm tactics. This work utilized a singular reference strain, *K. pneumoniae* ATCC 700603, recognized for its characterization and reproducibility in biofilm formation, frequently exploited in antibiotic investigations. This selection guaranteed experimental consistency and comparability with prior research, although it fails to adequately represent the genetic and phenotypic diversity of clinical *K. pneumoniae* isolates found in healthcare environments. Consequently, the results presented herein must be regarded with prudence when generalizing to wider clinical scenarios. Future research will include several clinical isolates to confirm the relevance and translational importance of CuONPs across different pathogenic contexts.

## CONCLUSION

This study demonstrates substantial antibacterial and antibiofilm properties of CuONPs against *K. pneumoniae*, effectively suppressing bacterial proliferation, obstructing biofilm development, and significantly enhancing antibiotic effectiveness in combination with imipenem, cotrimoxazole, and clindamycin. A novel contribution of our work is the detailed exploration and clear demonstration of the molecular-level impact of CuONPs on biofilm-associated regulatory networks, particularly through significant modulation of genes such as *luxS*, *mrkA*, *fimA*,

*rcsA*, and *kpa*. These gene expression alterations highlight the complex adaptive bacterial responses elicited by nanoparticle exposure. Our findings strongly support the potential of CuONPs as therapeutic agents for treating multidrug-resistant and biofilm-associated infections. Future research should further investigate *in vivo* efficacy, biocompatibility, detailed molecular pathways, and targeted nanoparticle modifications to improve stability, effectiveness, and safety, thus fully establishing their clinical utility.

### Limitations of the study

The study on CuONPs, a type of nanoparticle, has limitations due to its reliance on *in vitro* assays and lack of *in vivo* validation or cytotoxicity evaluations. The study used a single strain of *K. pneumoniae*, which limits generalizability. The study also did not conduct physicochemical studies like Dynamic Light Scattering (DLS), zeta potential measurements, and drug release profiling, which are crucial for assessing nanoparticle stability, dispersibility, and therapeutic efficacy. This lack of these studies is a constraint and will be addressed in future research. The study also excluded agarose gel electrophoresis images of PCR products, which could improve methodological transparency and complement qPCR findings. The study's limitations highlight the need for more comprehensive physicochemical characterization of CuONPs.

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### CONFLICT OF INTEREST

The authors declare that there is no conflict of interest.

### AUTHORS' CONTRIBUTION

MAA conceptualized and designed the study and supervised the laboratory work. HA, MAA and LAY performed data collection

and analysis. LAY in-charge of the laboratory experiment protocols, and ensured the accuracy of the results. HA, MAA, and LAY wrote the manuscript. MAA reviewed the manuscript. All authors read and approved the final manuscript for publication.

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### DATA AVAILABILITY

All datasets generated or analyzed during this study are included in the manuscript.

### ETHICS STATEMENT

Not applicable.

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